

IAP12 Rec'd PCT/PTO 10 MAY 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: K. TOKOROZUKI, et al.  
Serial No.: NEW  
Filed: May 10, 2006  
For: MANUFACTURING METHOD OF SEMICONDUCTOR  
INTEGRATED CIRCUIT DEVICE

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97 AND 1.98**

**Mail Stop: NEW APPS**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

May 10, 2006

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please copies of documents cited in the International Search Report in connection with International (PCT) Application No. PCT/JP2004/015835, of which the above-identified application is a National Stage application filed under 35 USC 371, and of documents cited in the specification of the above-identified application, for example, on pages 1-3 thereof. Also enclosed is a Form PTO/SB/08A, listing the enclosed documents.

This Information Disclosure Statement is being submitted concurrently with the filing of the above-identified application. Clearly, requirements of 37 CFR 1.97(b) are satisfied.

To the extent that the enclosed documents are not in English, it is respectfully submitted that requirements of 37 CFR 1.98(a)(3) are satisfied by the International Search Report in connection with No. PCT/JP2004/015835, and/or by discussion of the documents in the specification of the above-identified application, for example, on

**IAP12 Rec'd PCT/PTO 10 MAY 2006**

pages 1-3, and/or by English-language abstracts submitted with respective documents,  
and/or by International (PCT) Publication No. WO 99/59200 (enclosed herewith)  
corresponding to Japanese Patent Document No. 2002-515650.

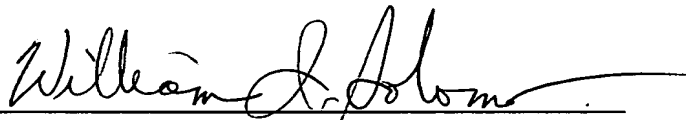
In view of the foregoing, it is respectfully submitted that all applicable  
requirements of 37 CFR 1.97 and 1.98 have been satisfied, in connection with each of  
the documents listed on the enclosed Form. Accordingly, consideration of the listed  
documents, upon examination of the above-identified application, is respectfully  
requested.

Please charge any shortage of fees due in connection with the filing of this paper  
to the Deposit Account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-  
2135 (case No. 1374.46124X00), or credit any excess fees paid to such Deposit  
Account.

Respectfully submitted,

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PTO/SB/08A (08-03)

Approved for use through 10/7/2006. OMB 095-0003  
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IAP12-1560 (USPTO) 05/10/2006

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Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>			
<b>May 10, 2006</b>			
<i>(use as many sheets as necessary)</i>			
Sheet	1	of	1
		Application Number	NEW
		Filing Date	05/10/2006
		First Named Inventor	Kazuvuki TOKOROZUKI
		Art Unit	TBA
		Examiner Name	TBA
		Attorney Docket Number	1374.46124X00

U.S. PATENT DOCUMENTS					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			
		US-			
		US-			
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		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		JP 10-275753	10/13/1998	H. Kobayashi, et al.		A
		JP 2002-149222	05/24/2002	N. Takanabe		A
		JP 09-180976	07/11/1997	R. Mingo		A
		JP 09-129554	05/16/1997	M. Makitani		A
		JP 2000-269108	09/29/2000	M. Tamaki		A
		JP 2002-515650	05/28/2002			
		WO 99/59200	11/18/1999	P. H. Lamey, et al.		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		International Search Report, for Application No. PCT/JP2004/015835, mailed December 14, 2004.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**10/578723**

**IAP12 Rec'd PCT/PTO 10 MAY 2006**

**ATTACHMENT**

<b>International Application No.</b>	<b>International Filing Date</b>	<b>Attorney Docket No.</b>
<b>PCT/JP2004/015835</b>	<b>October 26, 2004</b>	<b>1374.46124X00</b>

1. International Publication No. WO 2005/045907 \*\*\*A-1 Cover Sheet
2. Second and Supplementary Notice Informing the Application of the Communication of the International Application to the Designated Offices
3. International Search Report